

Risk on a Page

Risk Title : Safeguarding

Risk ID : 153

Description : If our safeguarding arrangements are not sufficiently robust, then we will not be doing everything we possibly can to prevent the death, injury or neglect of a child or vulnerable adult and consequential reputational damage.

Risk Level : Corporate

Responsible Officer : David.Howes

Councillor : Mark Child

Last Update : 16/09/2021

Historical RAG :	Oct-20	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-21
	RED	RED		RED	RED	RED	RED	RED	RED	RED	RED	RED

Current Control Measures

Emergency Planning Infrastructure Re-Established As Part Of The Regional Partnership Board, Safeguarding Board And Internally Within The Council To Ensure Prioritisation Of Resources Targeted At Maintaining Care And Support For Those Individuals In Most Critical Need Or At Risk Of Suffering Harm Reprioritise The Council;S The Councils Covid-19 Recovery Plan To Reflect The Impact Of The Third Wave Of Covid On Critical Services
Review Staffing Infrastructure Across The Directorate In Recognition Of A Critical Shortfall In Social Worker And Social Care Staff Capacity And Mitigate Where Possible By Increasing The Number Of Alternatively Qualified Staff

Last Update

16/09/2021

Risk Response

Treat

Projected Completion

31/03/2022

Closed Control Measures

Establish And Maintain A Regional Protocol To Provide Secure Covid-19 Care Home Provision, Including Increased Capacity In The Councils In-House Care Homes.

Closure Date

31/08/2021

Reprioritise Workload To Focus On The Most Vulnerable People And Prioritise Services And Contact With Those Individuals During The Covid-19 Response.

31/08/2021

Provide Support To People Who Are At Greater Risk From Domestic Abuse During Covid-19

31/08/2021

Implement The Councils Covid-19 Recovery Plan To Recover Services And Help Deal With Emerging Risks From Covid-19.

16/09/2021

Provide Emotional And Well-Being Support To Children And Young People During Covid-19

31/08/2021

As Part Of A Wider Restructuring Of Adult Services, There Is Still A Plan To Re-Establish A Dedicated Safeguarding Team At The Front Door.

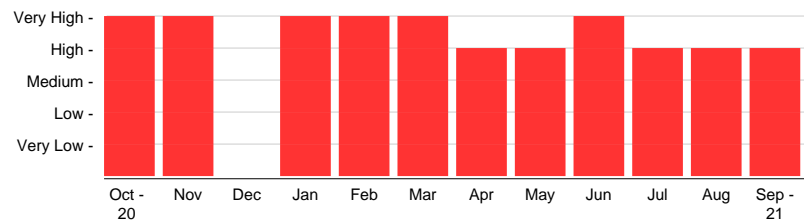
16/04/2021

Closed Control Measures

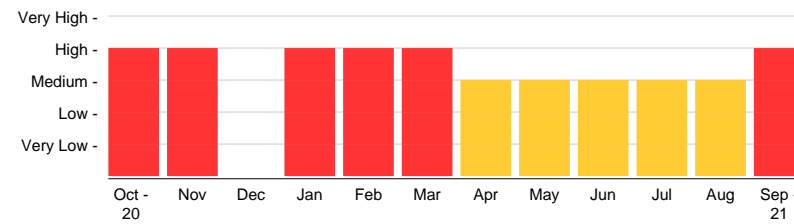
Appendix A

Provide Front-Line Social Care Staff With Ppe During Covid-19.	16/04/2021
Support And Shield Vulnerable People In The Community During Covid-19	16/04/2021
Subgroup Of The Corp. Safeguarding Board Reviewing Additional Safeguards That Can Be Implemented By The Hr Transactions Team.	16/04/2021
Action Plan Being Developed In Response To Recent Audit On Dbs Compliance In Schools.	16/04/2021
Children Services Are Planning To Further Enhance The Multi-Agency Front Door Team With A Dedicated Safeguarding Hub.	06/07/2020
Director Of Social Services To Advise Cabinet And Cmt On Options To Bolster Resilience Of The Workforce In Frontline Child Protection Teams	06/07/2020
¿ Sufficient Numbers Of Trained Adult And Children Services Staff. Principal Officers For Safeguarding Within Social Services	07/07/2020
¿ Corporate Safeguarding Policy And Group.	
¿ Strong Performance Monitoring And Reporting Arrangements.	
¿ Positive Engagement And Support From Cabinet And Council.	
¿ Mandatory Corporate Safeguarding Training In Place For Staff And Members.	
¿ Commitment To Invest In Social Care Is Strong.	
¿ Corporate Priority.	
¿ Regional And Multi-Agency Safeguarding Partnerships.	
¿ Safeguarding Leads Identified Across All Council Services	
¿ Separate Safeguarding Arrangements In Place In Schools, With A Central Education Safeguarding Officer Within The Main Local Authority Directorate	
¿ Two Dedicated Scrutiny Panels In Place To Scrutinize Social Services Work And Performance.	
¿ New And Revised Safeguarding Policy Following A Review Undertaken By The Safeguarding Pddc'	
Update (16/7/2019) - Children Services Have Completed The Restructure Of The Frontline Supported Care Planning Teams.	
First Phase Training For Staff In The Adult Services Practice Model Is Almost Complete.	
Multi Agency Monitoring Of Potential Safeguarding Referrals Is Now In Place At The Front Door Of Adult Services.	
A Process For The Regular Audit Of Safeguarding Cases Has Now Been Established Within Adult Services To Mirror The Equivalent Arrangements For Children Services.	
Update 20/9/19 - Some Concern About Diminished Capacity Within Frontline Child Protection Teams Due To High Levels Of Churn Within The Workforce.	
Update 10/10/19 - Additional Concern Identified Regarding The Operation Of Hr Transactions Processes In Relation To Dbs Checks And Some Other Employment Checks In Regulated Services.	
Update 19/11/19 - Cmt Have Agreed Action Plan To Stabilise Recruitment And Retention Of Frontline Children Services Staff	
Update 6/7/20 Safeguarding Arrangements And Resources Have Had To Be Remodelled To Ensure That This Key Priority Function Within Social Services Can Continue To Be Safely Delivered Despite The Restrictions Imposed As A Result Of Covid. The Planned Implementation Of The Multi Agency Safeguarding Hub Has Progressed Despite Covid And The Required Dedicated Safeguarding Team For Adults Has Been Included In The Modified Restructure Of Adult Services Required As A Result Of Covid.	

Historical Impact : **High**



Historical Likelihood : **High**



Risk on a Page

Risk Title : Data Breaches of Confidentiality

Risk ID : 218

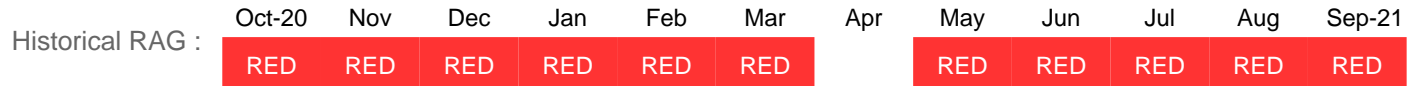
Description : If a data breach occurs then this will result in a breach of confidentiality for an individual and the ICO will have to be notified potentially incurring significant fine for the Authority.

Risk Level : Directorate

Responsible Officer : David.Howes

Councillor : Mark Child

Last Update : 21/09/2021



Current Control Measures

If A Data Breach Occurs Then They Are Reported To P&Fm Which Takes Place On A Monthly Basis. Staff Who Have Also Completed Data Breach Training Is Reviewed, Captured And Reported To P&Fm On A Monthly Basis.

Last Update

09/08/2021

Risk Response

Treat

Projected Completion

03/01/2022

Closed Control Measures

Igu Officers Attending P&Fm To Highlight Any Issues Of Non Compliance With Breach Panel Recommendations - Completed

Closure Date

27/12/2020

Adult Services Action Plan And Child And Family Services Action Plan To Counteract Breaches And To Prevent Them Taking Place.

04/12/2020

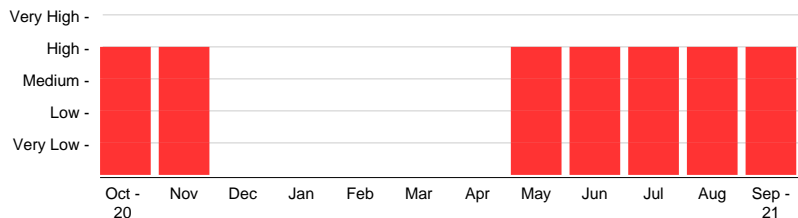
A Data Protection Impact Assessment (Dpia) Has Been Completed

Po For Resources Attends All Breach Panels And Communicates Lessons Learned.

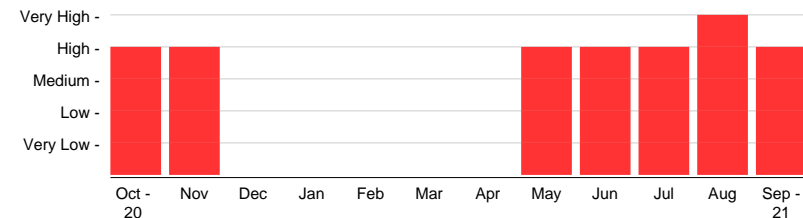
Communication On Keeping Data Safe Has Been Circulated Via May'S Edition Of The Staff Newsletter In Both Adult & Children'S Services.

All Staff To Complete Data Protection Training

Historical Impact : High



Historical Likelihood : High



Risk on a Page

Risk Title : Availability of Domiciliary Care

Risk ID : 221

Description : If demand for personal care at home continues to exceed the Council's capacity to directly provide or commission sufficient domiciliary care staff and services, then the local authority will fail to meet its statutory duties under the Social Services and Well Being Act, individuals care and support needs will not be sufficiently well met and there will be significantly increased pressure on acute hospital services.

Risk Level : Directorate

Responsible Officer : David.Howes

Councillor : Mark Child

Last Update : 21/09/2021

Historical RAG :	Oct-20	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-21
	RED	RED		RED	RED	RED		RED	RED		RED	RED

Current Control Measures

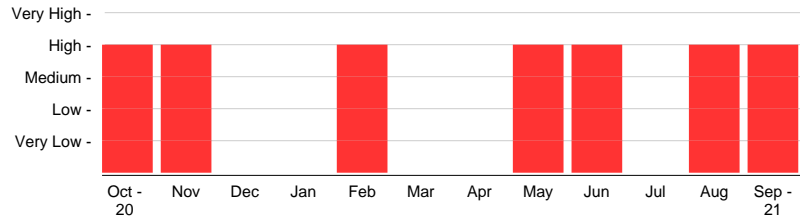
	Last Update	Risk Response	Projected Completion
Increased Number Of Contracted Providers To 22 - Current Data Suggests Increase In Demand Resulting In Capacity Pressures	24/08/2021	Treat	31/03/2022
Daily Management Of Waiting Lists And Contact With Care Providers To Prioritise Access To Services.	24/08/2021	Treat	31/03/2022
Implement Hospital To Home Services To Alleviate Hospital Pressures And Reduce Escalation Of Care And Support Needs.	24/08/2021	Treat	31/03/2022
Repurpose Third Sector Services To Support Hospital Discharge	24/08/2021	Treat	31/03/2022
Pilot Dom Care Services From 1 Res Care Provider	24/08/2021	Treat	31/03/2022
Creating Adaptable Respite Services That Can Flex To Address Long Term Care Needs If Required.	24/08/2021	Treat	31/03/2022
Promote Alternative Services To Dom Care	24/08/2021	Treat	31/03/2022

Closed Control Measures

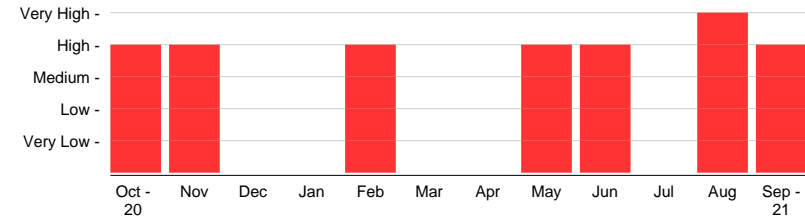
	Closure Date
Review Direct Payments Rates	01/03/2021
Re-Procured Services At Higher Cost, With Improved Terms And Conditions For Dom Carers And More Efficient Geographical Coverage, To Enhance Provider Capacity To Deliver Services And Recruit And Retain Staff.	11/01/2021

Revised Direct Payments Strategy To Incentivise Take Up And Reduce Burden On Commissioned Services.
Daily Management Of Waiting Lists And Contact With Care Providers To Facilitate Access To Services.
Piloting Of ¿Hospital To Home¿ Initiatives To Alleviate Hospital Pressures And Reduce Escalation Of Care And Support Needs.

Historical Impact : **High**



Historical Likelihood : **High**



Risk on a Page

Risk Title : Staff Emotional Wellbeing

Risk ID : 283

Description : IF staff turnover and sickness absence does not reduce and staff continue to be required to solely work from home, THEN it is likely the mental and emotional well-being across the wider CFS and Adult Services workforce will deteriorate due to higher caseloads, staff isolation and the conflicts and pressures of trying to manage work and home demands, leading to even higher staff sickness and shortages and preventing the service from being delivered effectively.

Risk Level : Directorate

Responsible Officer : Julie.Davies10

Councillor : Elliott King

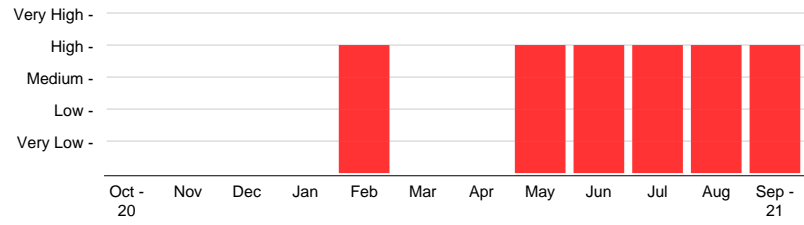
Last Update : 20/09/2021

Historical RAG :	Oct-20	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep-21
					RED	RED		RED	RED	RED	RED	RED

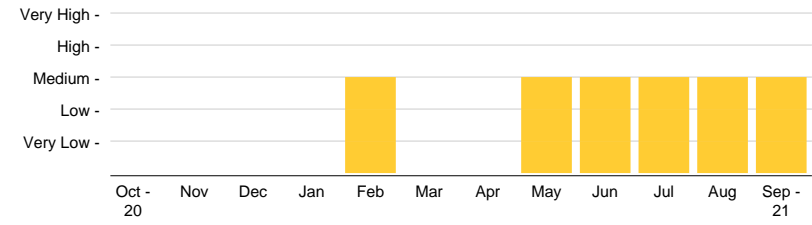
Current Control Measures	Last Update	Risk Response	Projected Completion
Daily Communication And Providing Virtual/Emotional Support As Flexibly As Needed.	20/09/2021	Treat	31/12/2021
Teams And Managers Supported With Regular Check Ins And Catch Ups With Staff	20/09/2021	Treat	31/12/2021
Implementing A C&Fs Recruitment & Retention Strategy Group Meet Monthly To Review Progress	20/09/2021	Treat	31/12/2021
Active Promotion Of The Cfs Well-Being Strategy; This Is Regularly Reviewed As Part Of Sub Groups This Is An Ongoing Control Measure	20/09/2021	Treat	31/12/2021

Closed Control Measures	Closure Date
Lobbying Welsh Government To Prioritise The Children'S Workforce To Receive The Covid Vaccination.	23/04/2021

Historical Impact : **High**



Historical Likelihood : **Medium**



Risk on a Page

Risk Title : Withdrawal of Grant Funding in Social Services

Risk ID : 298

Description : IF grant funding ceases to flow from Welsh Government (in the main), THEN the Directorate will not be able to deliver the range of services as effectively as it would like to in order to ensure service continuity and to safeguard preventative activities for the economic, social, environmental and cultural well-being of residents of Swansea

Risk Level : Directorate

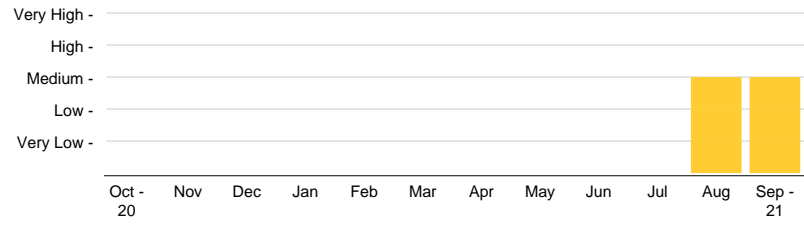
Responsible Officer : David.Howes

Councillor : Mark Child

Last Update : 16/09/2021

Historical RAG : Oct-20 Nov Dec Jan Feb Mar Apr May Jun Jul Aug Sep-21
AMBER AMBER

Historical Impact : **Medium**



Historical Likelihood : **Medium**

